# Compliance-checklist ORA.FSTD

This checklist can also be used as an audit checklist to ensure compliance with ORA.FSTD by an organization.

|  |  |
| --- | --- |
| Latest revision: | EU 2021-1310  |
| Last change: | 1 december 2021 |
| Audit performed at date: |  |
| Audit performed by: |  |

## Compliance

To be considered compliant the organization shall have documented and implemented the regulation.

A rule is documented when the organization describes how it complies with it in a controlled document (e.g manual).

A rule is implemented when the organization performs operationally according to the regulation.

## Instruction

Fill in the columns as described below.

* **Regulation**

The reference to part-ORA

* **Compliant Y, N, N/A**

If the rule is both documented and implemented, write Y (yes).

If the rule is not documented and implemented, write N (no).

If not applicable to the organizations scope of operations write N/A (not applicable).

* **Manual reference**

Write the reference to where it is documented.

* **Evidence**

Describe where to find evidence that it is implemented (training records, meeting agenda etc). Note that for certain rules there might be no evidence available even if the rule is implemented, then leave the box empty.

* **Notes**

Any comments may be written here.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Regulation** | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| ORA.FSTD.115(b) | Y | FSTD Audit checklist | Checks performed according to FSTD Audit checklist revision 1.3 |  |

## Example

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Regulation** | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| **Subpart FSTD**  |
| ORA.FSTD.100(a) |  |  |  |  |
| ORA.FSTD.100(b)(1) |  |  |  |  |
| ORA.FSTD.100(b)(2) |  |  |  |  |
| AMC1 ORA.FSTD.100(a)(1) |  |  |  |  |
| AMC1 ORA.FSTD.100(b)(1i) |  |  |  |  |
| AMC1 ORA.FSTD.100(b)(1ii) |  |  |  |  |
| AMC1 ORA.FSTD.100(b)(1iii) |  |  |  |  |
| AMC1 ORA.FSTD.100(b)(1iv) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1i) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1ii) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1iii) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1iv) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1v) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1vi) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1vii) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1viii) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1ix) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1x) |  |  |  |  |
| AMC1 ORA.FSTD.100(c)(1xi) |  |  |  |  |
| AMC2 ORA.FSTD.100 |  |  |  |  |
| AMC3 ORA.FSTD.100(a)(1) |  |  |  |  |
| AMC3 ORA.FSTD.100(a)(2) |  |  |  |  |
| AMC3 ORA.FSTD.100(a)(3) |  |  |  |  |
| AMC3 ORA.FSTD.100(b) |  |  |  |  |
| ORA.FSTD.105(a) |  |  |  |  |
| ORA.FSTD.105(b) |  |  |  |  |
| ORA.FSTD.105(c) |  |  |  |  |
| ORA.FSTD.110(a)(1) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| ORA.FSTD.110(a)(2) |  |  |  |  |
| ORA.FSTD.110(b) |  |  |  |  |
| ORA.FSTD.110(c) |  |  |  |  |
| AMC1 ORA.FSTD.110(a) |  |  |  |  |
| AMC1 ORA.FSTD.110(b) |  |  |  |  |
| AMC1 ORA.FSTD.110(c) |  |  |  |  |
| AMC1 ORA.FSTD.110(d) |  |  |  |  |
| AMC1 ORA.FSTD.110(e) |  |  |  |  |
| AMC1 ORA.FSTD.110(f) |  |  |  |  |
| AMC1 ORA.FSTD.110(g) |  |  |  |  |
| ORA.FSTD.115(a)(1) |  |  |  |  |
| ORA.FSTD.115(a)(2) |  |  |  |  |
| ORA.FSTD.115(a)(3) |  |  |  |  |
| ORA.FSTD.115(b) |  |  |  |  |
| AMC1 ORA.FSTD.115(a)(1) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(1) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(2) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3i) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3ii) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3iii) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3iv) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3v) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3vi) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3vii) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3viii) |  |  |  |  |
| AMC1 ORA.FSTD.115(b)(3ix) |  |  |  |  |
| ORA.FSTD.120 |  |  |  |  |
| ORA.FSTD.200(a)(1) |  |  |  |  |
| ORA.FSTD.200(a)(2) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| ORA.FSTD.200(b) |  |  |  |  |
| AMC1 ORA.FSTD.200 |  |  |  |  |
| ORA.FSTD.205(a) |  |  |  |  |
| ORA.FSTD.205(b) |  |  |  |  |
| ORA.FSTD.210(a)(1) |  |  |  |  |
| ORA.FSTD.210(a)(2) |  |  |  |  |
| ORA.FSTD.210(a)(3) |  |  |  |  |
| ORA.FSTD.210(b) |  |  |  |  |
| ORA.FSTD.225(a)(1) |  |  |  |  |
| ORA.FSTD.225(a)(2) |  |  |  |  |
| ORA.FSTD.225(a)(3) |  |  |  |  |
| ORA.FSTD.225(b)(1) |  |  |  |  |
| ORA.FSTD.225(b)(2) |  |  |  |  |
| ORA.FSTD.225(b)(3) |  |  |  |  |
| ORA.FSTD.225(b)(4) |  |  |  |  |
| ORA.FSTD.225(c) |  |  |  |  |
| ORA.FSTD.225(d) |  |  |  |  |
| AMC1 ORA.FSTD.225(b)(4) |  |  |  |  |
| ORA.FSTD.230(a)(1) |  |  |  |  |
| ORA.FSTD.230(a)(2) |  |  |  |  |
| ORA.FSTD.230(a)(3) |  |  |  |  |
| ORA.FSTD.230(b) |  |  |  |  |
| ORA.FSTD.230(c) |  |  |  |  |
| ORA.FSTD.230(d) |  |  |  |  |
| AMC1 ORA.FSTD.230(b)(a) |  |  |  |  |
| AMC1 ORA.FSTD.230(b)(b) |  |  |  |  |
| AMC1 ORA.FSTD.230(b)(c) |  |  |  |  |
| AMC1 ORA.FSTD.230(b)(d) |  |  |  |  |
| AMC1 ORA.FSTD.230(b)(e) |  |  |  |  |
|  | **Compliant Y, N, N/A** | **Manual reference** | **Evidence** | **Notes** |
| ORA.FSTD.235(a) |  |  |  |  |
| ORA.FSTD.235(b) |  |  |  |  |
| ORA.FSTD.235(c) |  |  |  |  |
| ORA.FSTD.240(a) |  |  |  |  |
| ORA.FSTD.240(b) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(1i) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(1ii) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(1iii) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2i) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2ii) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2iii) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2iv) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2v) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2vi) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2vii) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2viii) |  |  |  |  |
| AMC1 ORA.FSTD.240(a)(2ix) |  |  |  |  |